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**Research Services
Office of the Vice-Provost
for Research**

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Pointers, Tips & News

Collaborative Research Efforts Growing at SLU

Foundations and government agencies that fund research are increasingly favoring the “team science” approach, whereby pressing problems are addressed through the collaboration of scholars from different disciplines. In recent months, several “team science” groups have emerged at SLU, demonstrating the value of collaboration.

Social Work and Public Health:

Principal investigators Ellen Burkemper, Ph.D., Social Work, and Sharon Homan, Ph.D., School of Public Health, combined their expertise, in partnership with the Maternal Child and Family Health Coalition to educate maternal and child health care professionals. Three sites will be chosen in the St. Louis Region and outlying counties to conduct this training. A certificate in Public Health for the MSW student will be one outcome if the grant is funded, along with continuing education credits for the members of the coalition.

Education/Foreign Language/Anatomical Science/English:

Professors John Watzke (Education Studies), Kara McBride (Modern and Classical Languages), Lisa Suggs (Ctr. for Anatomical Sciences and Ed.), and Janice McIntyre-Strasburg (English) have met to discuss how they can combine their expertise to come up with innovative research plans. Arising from the meeting were some exciting ideas about how to work together on issues of writing, language training, and distance education.

Business/Psychology/Sociology/History/International Studies:

Professors, Jintong Tang (Management), Kevin Fox (Psychology), Richard Colignon (Sociology), and Tom Finan (History and International Studies), are also meeting to discuss their mutual research interests.

We at the Office of Research Services fully encourage collaborative research efforts and are happy to facilitate in anyway possible. If you are interested in collaborative research, please contact Scott Parkinson at: sparkins@slu.edu or 977-7022.

Who are Senior/Key Personnel?

Grant applicants might be interested in a topic that was discussed in a recent issue of the NIH’s online publication *Extramural Nexus*: **Who are “senior/key personnel” and how do they differ from “other significant contributors?” What about consultants?** “Senior/key personnel are defined as individuals who contribute to the scientific development or execution of a project in a substantive, measurable way. [see PHS SF424 (R&R) Application Guide, p. I-58] The program director/principal investigator (PD/PI) is always considered senior/key personnel. The PD/PI may designate other senior/key personnel if they fit the definition.”

“Biosketches, other support information [when called for], and level of effort greater than zero person months are all required of senior/key personnel named in the application.” So, the senior/key persons either must be paid salary from the grant or must have cost-sharing shown for the portion of their effort that is contributed to the project.

The “senior/key persons” should be listed first on the Research & Related Senior/Key Person Profile form; those listings can be followed by listings for “other significant contributors.” For Project Role, select “Other” and then in the blank to the right, for “Other Project Role Category,” fill in the phrase “Other Significant Contributor.” “Other significant contributors are those that are committed to contribute to the project, but without measurable effort (zero person months or “as needed”). Biosketches of other significant contributors are required; however, other support information is not.”

“A consultant is defined as an individual hired to give professional advice or services for a fee. Generally, a consultant is not considered senior/key personnel. . . . In those cases where a consultant may actually meet the definition of senior/key personnel, the applicant should list them as such and include the appropriate biosketch and other support information.” It appears that a regular consultant need not provide the biosketch or other support information.

Pointers, Tips & News

From the Director's Desk

NSF Introduces Additional Review Criteria

Applicants to the National Science Foundation have a third review criteria to address in their proposals, in addition to “Intellectual Merit” and “Broader Impacts.” Now, proposers must define, “To what extent does the proposed activity suggest and explore creative, original, or potentially transformative concepts?”

The National Science Foundation announced August 9, 2007 that its board had endorsed a proposal by NSF director [Arden L. Bement, Jr.](#) to increase the agency's support for transformative research (“Transformative Research”. [Wikipedia.org](#). 16 November, 2007). Transformative Research is defined as “research driven by ideas that have the potential to radically change our understanding of an important existing scientific or engineering concept or leading to the creation of a new paradigm or field of science or engineering” (Enhancing Support of Transformative Research at the National Science Foundation, 2007). Transformative research “is also characterized by its challenge to current understanding or its pathway to new frontiers”.

The National Science Foundation's governing body, The National Science Board, established the Task Force on Transformative Research in December 2004 to gain “a better understanding of National Science Foundation (NSF) policies to solicit, identify, and fund innovative, “potentially transformative” research.

For more details please visit http://www.nsf.gov/nsb/documents/2007/tr_report.pdf.

Researcher Behavior that Leads to Success in Obtaining Grant Funding

How do I get funded?

This is a question research administrators face daily, from junior and senior faculty alike. A 2006 study conducted by Sharon Stewart Cole, Ph.D., Senior Research Scientist and former director of sponsored programs, Nevada Cancer Institute, may lend some ideas. Dr. Cole looked specifically at what faculty behaviors contributed to improving the success rate of proposal submissions and what encourages faculty to pursue federal funding. As the basis of her study, Cole looked at previous research by Porter (2004), which indicated that new faculty often have little awareness of how to receive federal funds, or how to become a principal investigator. Boyer and Cockriel (1998) focused on the importance of what motivates faculty to pursue grant funding, and Ebong (1999) that developed a profile of faculty who are persistent in getting funding.

Among the “behaviors” that faculty can pursue include training in proposal writing, submitting proposals, attending professional association meetings (and serving as an officer for a professional association), enlarging one's research team size, reducing one's teaching load, participating in consortium research arrangements.

Cole surveyed full-time faculty located at comprehensive and master's degree universities in Texas and California. The majority represented the natural and physical sciences (the author found, however, that the findings were generalizable across diverse disciplines), spent 13.8 years as a P.I., were male, and, on the average were 55 years old.



Pointers, Tips & News

Researcher Behavior that Leads to Success in Obtaining Grant Funding Continued...

Success in obtaining grant funding was measured by two dependent variables: dollar value of awards received and number of awards received.

Cole's study found that the four "behaviors" that are most likely to lead to success, as expressed in the dollar value of awards include, in this order,

- research team size,
- education in proposal writing,
- the number of proposals previously submitted, and
- attendance at association meetings.

Behaviors that lead to success as measured by number of awards, include

- the number of proposal submitted,
- participating in consortia arrangements,
- serving as an association officer, and
- a reduced teaching load.

What does all this mean for researcher and research administrator?

For researchers, it means that success depends on the willingness to learn the art and science of grantwriting, and the value of submitting proposals, and re-submitting when rejections are received. There's an old adage that says, "You can't win, unless you play the game." It's exceptionally true in the game of grants-seeking. You can't get funded, unless you submit proposals.

The results also speak to the value of networking and involving oneself in collaborative research partnerships. The colleagues you see at meetings are quite likely to serve as reviewers at agencies and foundations, and barring any conflict of interest concerns, will be familiar with your work, providing you that extra edge to stand out in a competitive field of researchers. Your visibility and credibility increase exponentially, if you hold an officer position in your professional association.

For research administrators, it means that our job is to make sure we are presenting opportunities for you, the researcher, to engage in these behaviors. The Office of Research Services (ORS) has traditionally conducted grantsmanship workshops several times during the

academic year, and will improve upon this practice by developing workshops for specific disciplines and the types of sponsors that traditionally fund those areas.

To provide opportunities for collaborative networking and consortium arrangements, ORS will begin to sponsor informal brown-bag sessions focusing on topics that may be ripe for collaboration. At this writing, ORS is hosting a collaborative dialogue on distance learning between the departments of Educational Studies, the Practical Anatomy Workshop, the Writing Center within the Department of English, and the Department of Modern Languages. Whatever grant applications this group submits, it is likely to stand out because of the unique combination of disciplines represented.

So, in answer to the question we began with, "How do I get funded?" – we answer, take advantage of opportunities to educate yourself in the practice of grantsmanship, engage yourself with your colleagues, both within your discipline and with those outside of your discipline where similar interests collide, and most importantly, submit proposals.

Remember: You can't win, unless you play the game....

Reference:

Cole, Sharon, S. (2004). "Researcher behavior that leads to success in obtaining grant funding: A model of success." *Research Management Review*, Volume 15, Number 2, Fall/Winter 2006.

To read the study in its totality: <http://www.ncura.edu/content/news/rmr/>

Awards and Submissions

November Grant Awards

- Barbara Whitman** (Pediatrics) \$50,000.00 from the Prader-Willi Association of America for “The Effect of Growth Hormone Replacement Therapy on Physical and Behavioral Sexual Development in Persons with Prader-Willi Syndrome”
- Rolanda Maxim** (Pediatrics) \$47,489.00 (**Subcontract**) from Washington University (Simons Foundation) for “Simplex Autism Resources”
- Robert B. Belshe** (IM -Infectious Diseases) \$2,810,265.00 from the National Institute of Allergy and Infectious Diseases for “Vaccine and Treatment Evaluation Units (VTEUs): Evaluation of Control measures Against Diseases Other than AIDS”
- Matthew W. Kreuter** (Public Health) \$6,000.00 from the Missouri Foundation for Health for “Missouri Foundation for Health Training Agreement”
- Steven W. Buckner** (Chemistry) \$35,000.00 from Shimadzu Corporation for “Equipment Grants for Research LC-MS Program”
- Ryan D. McCulla** (Chemistry) Computer time from the Agency for International Development for “NCSA Developmental Allocation - July 2007 (30,000 SU)”
- Tony Buchanan** (Psychology) \$64,074.00 from the National Institute of Mental Health for “The neuroanatomical basis for the human stress response”
- James R. Ginther** (Theological Studies) \$10,346.00 from the Institute of Digital Theology for “Virtual York Minster”
- James M. DuBois** (Center for Health Care Ethics) \$74,345.00 (**Subcontract**) from Washington University (NIH) for “Center for Clinical Research Ethics, Section 2.04 of the Washington University of St. Louis Clinical and Translational Science Award”

Submissions

- Robert B. Belshe** (IM -Infectious Diseases) to the National Institute Of Allergy and Infectious Diseases
- Adrian M. Di Bisceglie** (IM -GI & Hepatology) to the National Institute Of Allergy and Infectious Diseases
- Richard John Di Paolo** (Molecular Microbiology & Immunology) to the PEW Scholars Program in the Biomedical Sciences
- George L. Eliceiri** (Pathology) to the Susan G. Komen Breast Cancer Foundation
- Steven J. Fliesler** (Ophthalmology) to the National Eye Institute
- David Alexander Ford** (Biochemistry & Molecular Biology) to the National Heart, Lung, and Blood Institute
- Scott W. Fosko** (Dermatology) to the Dermatology Foundation
- Sarah L. George** (IM-Infectious Diseases) to the National Institutes of Health
- Tomasz Heyduk** (Biochemistry & Molecular Biology) to the National Cancer Institute
- Oleg G. Kisselev** (Ophthalmology) to the National Institute of General Medical Sciences
- Mark M. Knuepfer** (Pharmacological & Physiological Science) to the National Heart, Lung, and Blood Institute
- Sergey Korolev** (Biochemistry & Molecular Biology) to the National Institute Of General Medical Sciences
- Andrew J. Lechner** (Pharmacological & Physiological Science) to the National Institute Of Allergy and Infectious Diseases
- Dechun Li** (Pulmonary Critical Care & Sleep) to the National Heart, Lung, and Blood Institute
- Jennifer K. Lodge** (Biochemistry & Molecular Biology) to the National Institute Of Allergy and Infectious Diseases
- Harris R. Perlman** (Molecular Microbiology & Immunology) to the National Institute Of Arthritis and Musculoskeletal and Skin Diseases
- William H. Perman** (Radiology) to the National Institute of Biomedical Imaging and Bioengineering
- Ratna B. Ray** (Pathology) to the National Cancer Institute
- Ratna B. Ray** (Pathology) to the Susan G. Komen Breast Cancer Foundation
- Daniela Salvemini** (Pulmonary Critical Care & Sleep) to the National Institute on Drug Abuse
- Daniela Salvemini** (Pulmonary Critical Care & Sleep) to the National Heart, Lung, and Blood Institute
- William S. Sly** (Biochemistry & Molecular Biology) to the National Institute Of Allergy and Infectious Diseases
- Randy S. Sprague** (Pharmacological & Physiological Science) to the National Heart, Lung, and Blood Institute
- John E. Tavis** (Molecular Microbiology & Immunology) to the National Institutes of Health
- Roger D. Lewis** (Public Health) to the Reckitt Benckiser Inc.
- Timothy D. McBride** (Public Health) to the U.S. Department Of Health and Human Services
- Kathleen S. Wright** (Public Health) to TKC Integration Services
- Robert D. Aldridge** (Biology) to the National Science Foundation
- Sara L. Bagley** (Psychology) to the National Science Foundation
- Barrie P. Bode** (Biology) to the National Science Foundation
- Istvan Zalan Kiss** (Chemistry) to the Research Corporation
- Istvan Zalan Kiss** (Chemistry) to the Petroleum Research Fund (American Chemical Society)
- Bruce A. Kowert** (Chemistry) to the Petroleum Research Fund - American Chemical Society
- Ryan D. McCulla** (Chemistry) to the American Chemical Society - Petroleum Research Fund
- Ryan D. McCulla** (Chemistry) to the Research Corporation
- Brent M. Znosko** (Chemistry) to the National Institute of Health
- Brent M. Znosko** (Chemistry) to the Research Corporation Cottrell College Science Award

Information Most Commonly Needed

This information is provided for your use in completing application cover sheets, forms, and for use in preparing budgets. Contact The Office of Research Services at 314 977 2241 for additional information

INSTITUTIONAL INFORMATION

Employer Identification Number (Federal Tax Number) : 43 -0654872	DUNS Number: 050220722	Congressional District: Missouri 1st
Animal Welfare Assurance Number: A-3225-01	Human Subjects Assurance of Compliance Number: FWA00005304	Latest Affirmative Action Review and Approval: 03/16/1990
FICE Code : 2506-4	NSF Organization Code: 2506-4000	NIH Institutional Profile: 7915401 NIH Entry Identification Number: 1430654872A1
CAGE Code: 8221	Standard Industrial Code: 8221	Applicant Name: Saint Louis University
Applicant Address: 221 N. Grand St. Louis, Missouri 63103	Cognizant Federal Agency: Department of Health & Human Services Mr. Henry Williams, Branch Chief, Central States Field Office, Division of Cost Allocation 1301 Young Street, Rm. 732, Dallas, TX 75202	Business Office: Office of Sponsored Programs Administration Saint Louis University 3700 W. Pine Mall, Fusz Memorial Hall Suite 357 St. Louis, Missouri 63108

INSTITUTIONAL OFFICIALS

Fiscal Officer: Doug Leavell Director of Sponsored Programs	Persons Authorized to Sign Proposals and Certifications and to Accept Awards (Authorized Institutional Representatives): Michael E. Dockter, Ph.D., Vice Provost Carole Knight, Ph.D., Associate Vice Provost Sheila Lischwe, Ph.D., Director Research Services Scott Parkinson, Associate Director Research Services Johnny Kidd, Grant Administrator	
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INSTITUTIONAL ADDRESSES

Email: grants@slu.edu	NORTH CAMPUS: Mailing Address: Sheila Lischwe, Ph.D. Saint Louis University 3700 W. Pine Mall, Fusz Memorial Hall Suite 357 St. Louis, Missouri 63108 Phone Number: 314 977 2026 Fax Number: 314 977 7730	MEDICAL CENTER: Mailing Address: Sheila Lischwe, Ph.D. Saint Louis University 3556 Caroline Street, St. Louis, MO 63104 Phone Number: 314 977 2241 Fax Number: 977 7742
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BUDGET INFORMATION

Indirect Cost Rates: Date of Most Recent Indirect Cost Rate Agreement: May 14, 2007 Indirect Cost Agreement Effective: Until Amended Type of Agreement: Modified Total Direct Cost (MTDC), Predetermined, Fixed 47% On Campus Research (May 14, 2007 - until amended) 20.8% of MTDC Off Campus Research (May 14, 2007 - until amended)	
(MTDC shall exclude equipment, capital expenditures, charges for patient care, tuition remission, rental costs of off-site facilities, scholarships, and fellowships as well as the portion of each subgrant and subcontract in excess of \$ 25,000.00)	
Mileage Reimbursement Rate:	\$0.505 per business mile driven
Fringe Benefit Rates for:	FY 2007
Full-Time Personnel:	25.7%
Full-Time Personnel, 9 Mo. contract, summer months:	13.3%
Part-Time Personnel:	13.3%
UMG Org	11.8%

Designated Pre-Award Liaisons for Colleges and Schools

Scott Parkinson, J.D., Associate Director

sparkins@slu.edu; 977-7022

College of Arts and Sciences

American Studies; Communication;
Economics; English; History;
Mathematics and Mathematical Computer
Science; Modern and Classical Languages;
Philosophy; Political Science; Psychology;
Sociology & Criminal Justice
Theological Studies
Fine & Performing Arts

School of Business

School of Law

School of Public Health

College of Philosophy & Letters

Center for Health Care Ethics (Graduate School)

Research Methodology (Graduate School)

Terri Borowiak, M.A., Grants Manager,

borowitb@slu.edu, 977-2215

College of Education and Public Service (School of
Social Work)

School for Professional Studies

Department of Communication Sciences & Disorders

Doisy College of Health Sciences

Occupational Therapy

Medical Imaging & Therapeutics

Physical Therapy

Nutrition & Dietetics

Physician Assistant Education

School of Nursing

Jessie Nesbitt, Grants Development Specialist,

jnesbit1@slu.edu; 977-4219

College of Arts and Sciences

Biology

Chemistry

Earth and Atmospheric Sciences

Parks College

Michelle Coleman, M.A., Clinical Trials Contracts

Coordinator, mcolem14@slu.edu, 977-7436

Doisy College

Clinical Laboratory Sciences

Health Informatics & Information Management

Lucy Hubert, M.A., Grants Development Specialist,

lhubert2@slu.edu, 977-7743

School of Medicine

Sheila Lischwe, Ph.D., Director,

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School of Medicine

Center for Advanced Dental Education (CADE)

Office of the Vice Provost for Research Division Directory

Vice Provost for Research (D396)

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Environmental Safety & Services (D219)

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Spaniel	Mary	Secretary, Administrative	977-6889	977-5560	CRB 307	spanielm@slu.edu

Office of Innovation and Intellectual Property (D397)

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Office of Research Services (D282)

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Office of the Vice Provost for Research Division Directory

Office of Sponsored Programs (D395)

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Fisher	Margaret	Grant Accountant	977-6356	977-7371	FZH 357	mfisher9@slu.edu
Frederking	Janet	Grant Accountant	977-4226	977-7371	FZH 357	jfrederk@slu.edu
Hunt-Mahn	Gayle	Grant Accountant	977-6352	977-7371	FZH 357	ghuntmah@slu.edu

Research Compliance Employees (D399)

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Links to Grant Opportunities:

Internet Links

The links below are to major federal departments' funding websites and to the databases to which researchers at SLU have access. A selection of current opportunities is on the following pages.

Federal Grants Departmental Websites

Grants.gov

Department. of Health and Human Services	National Endowment for the Arts	National Endowment for the Humanities
Department. of Labor	National Science Foundation	Department. of Education
Institute of Museum and Library Services	Department. of Transportation	Department. of Homeland Security
Department. of Justice	Environmental Protection Agency	National Archives and Records Administration
Department. of Housing and Urban Development	Department of Energy	Department. of Agriculture

Grant Opportunities Search Engines

Community of Science

IRIS

SPIN

If you would like assistance with a customized search for your proposal, please contact the Office of Research with your request. (See our directory on pages 8 & 9.)

