

Chakrabarty, B., P. Moulton, and R. Pascual, 2017, Trading system upgrades and short sale bans: Uncoupling the effects of Technology and Regulation, *Journal of Empirical Finance*, 43, 74-90.

Chakrabarty, B., S-B Lee, and N. Singh, 2017, Doing Good while Making Money? Individual Investor participation in Socially Responsible Corporations, *Management Decisions* 55(8), 1645-1659 (2017 Journal Impact Factor = 1.525)

Chakrabarty, B., R. Pascual, and A. Shkilko, 2015, Evaluating Trade Classification Algorithms: Bulk Volume Classification versus the Tick Rule and the Lee-Ready Algorithm, *Journal of Financial Markets* 25, 52-79.

Chakrabarty, B, Ananth Seetharaman, and Weimin Wang, 2014, The Effect of Regulatory Reforms on Institutional and Individual Investors: Evidence from Restatements Before and After the Sarbanes-Oxley Act, *Research in Accounting Regulations* 26(1), 12-25.

Chakrabarty, B, and Andriy Shkilko, 2013, Information Transfers and Learning in Financial Markets: Evidence from Short Selling around Insider Sales, *Journal of Banking and Finance* 37(5), 1560-1572 (Lead Article).

Chakrabarty, B, and Pamela C. Moulton, 2012, Earnings Announcements and Attention Constraints: The Role of Market Design, *Journal of Accounting and Economics* 53(3), 612-634.

Chakrabarty, B, and Gaiyan Zhang, 2012, Credit contagion channels: Market microstructure evidence from Lehman Brothers' Bankruptcy, *Financial Management* 41(2), 320-343.

Chakrabarty, B, Pamela C. Moulton, and Andriy Shkilko, 2012, Short Sales and the Lee-Ready Trade Classification Algorithm Revisited, *Journal of Financial Markets* 15(4), 467-491.

Chakrabarty, B. and Kenneth Shaw, 2012, Eliminating the 20-F reconciliation from IFRS to US GAAP: Short term and long term liquidity effects, *Research in Accounting Regulations* 24(2), 90-95.

Chakrabarty, B, Shane Corwin, and Marios Panayides, 2011, When a Halt is Not a Halt: An Analysis of Off-NYSE Trading during NYSE Market Closures, *Journal of Financial Intermediation* 20(3), 361-386.

Chakrabarty, B, and Konstantin Tyurin, 2010, Market Liquidity, Stock Characteristics and Order Cancellations: The Case of Fleeting Orders, in *Handbook of Financial Econometrics*. Chapman-Hall/Taylor and Francis, UK.

Chakrabarty, B. and Kenneth Shaw, 2008, Hidden Liquidity: Order Exposure Strategies Around Earnings Announcement, *Journal of Business, Finance and Accounting* 35, 1220-1244.

Chakrabarty, B. and K. H. Chung, 2008, Can sub penny pricing reduce trading costs? *Asia Pacific Journal of Financial Studies* 37, 41-75.

- *Received the Editorial Board's Award presented by the Securities Dealers Association of South Korea.*

Chakrabarty, B., 2007, Do Dealers infer Information from Order Flow? **Journal of Financial Research** 3, 181-200.

- *Received the "Outstanding Article" Award for 2007*

Chakrabarty, B., B. Li, N. Van Thuan, and R. Van Ness, 2007, Trade Classification Algorithms for Electronic Communications Networks. **Journal of Banking and Finance** 31, 3806-3821.

Chakrabarty, B. and C. Trzcinka, 2006, Momentum: Does the Database make a Difference? **Journal of Financial Research** 4, 441 – 462 (Lead Article).

- *Received the "Outstanding Article" Award for 2006*

Under Review and Working Papers

“Attention: How High-Frequency Trading Improves Price Efficiency following Earnings Announcements” with Pamela Moulton and Frank Wang.

“The Effect of Disclosure Opacity on Trading Opacity: New Evidence from ADR Trades in Dark Pools” with Thomas Boulton and Marcus V. Braga-Alves.

“Order Exposure in a High Frequency World” with Terrence Hendershott, Samarpan Nawn, and Roberto Pascual.

“Identifying high frequency trading without proprietary data” with Carole Comerton-Forde and Roberto Pascual.

“Algorithmic market making during the COVID crisis” with Amy Edwards and Roberto Pascual.

“Economics of Exchange Competition with Leveled Speed” with Jianning Huang and Pankaj Jain.

“Catch Me If You Can: Improving the Scope and Accuracy of Fraud Prediction” with Pamela Moulton, Leonid Pugachev, and Frank Wang.

“Non-financial Misconduct and Audit Outcomes” with Mike Hyman and Gopal Krishnan.

“Females on Board! Firms’ propensity for non-financial fraud and gender make up of top management” with Tim Cao, Vishal Gupta, and Sandra Mortal.

Permanent Working Papers

“The Impact of SFAS 123R on Information Asymmetry” with David Farber, Kenneth Shaw, and Jim Whitworth.

“A Competing Risk Analysis of Executions and Cancellations in a Limit Order Market” with Z. Han, K. Tyurin, and X. Zheng

“Understanding the Microstructure of Indian Markets” with P. Jain – available at the research section of the National Stock Exchange of India website (See www.nseindia.com)

“Designing an Efficient IPO Mechanism – Evidence from e-IPOs” with S. Ghosh

Editorial Positions

Senior Editor, *Decision Sciences Journal* 03/2015–12/2017

Associate Editor, *Quarterly Journal of Finance and Accounting* 08/2015 – Present

Teaching Experience

Richard A. Chaifetz School of Business, Saint Louis University 2003 - Present

Undergraduate Courses

- Introduction to Financial Management (Introductory Undergraduate)
- Principles of Finance (Introductory Undergraduate)
- Equity Securities (Stocks) and Markets (Advanced Undergraduate)
- Fixed Income Securities (Bonds) and Markets (Advanced Undergraduate)
- Derivative Securities (Options and Futures) and Markets (Advanced Undergraduate)
- International Financial Management (Advanced Undergraduate)

Graduate Courses

- Financial Markets
- Fixed Income Securities (Bonds) and Markets
- Derivative Securities (Options and Futures) and Markets
- Equity Securities (Stocks) and Markets

Doctoral Student Supervision

- Saint Louis University
- University of Oklahoma
- University of Memphis
- Indian Institute of Management (India)

Boeing Institute of International Business 2007 - 2014

Faculty Member

School of Management, SUNY at Buffalo 2000 - 2003

Visiting Faculty

- International Financial Management (Graduate)
- International Finance (Advanced Undergraduate)

Course Instructor

- Derivative Securities markets (Advanced Undergraduate)
- Corporation Finance
- Course development and teaching assistant for Executive MBA class

- Undergraduate Microeconomics

Scholarship/Grant/Awards and Professional Membership

| | |
|---|--------|
| Fr. Thomas Knapp Distinguished Faculty Award | 2021 |
| Aspire St. Louis Leadership Panelist | 2021 |
| Best Paper, Plato Partnership Conference, London | 2020 |
| Best Paper in Equity Markets award, Spanish Finance Association, Madrid | 2019 |
| Best Paper in Market Microstructure award, Northern Finance Assoc. Conference | 2018 |
| Top published paper in Financial Management | 2018 |
| Best research paper at the Full Professor Rank (SLU) | 2018 |
| NYU-NSE Financial Markets Research Grant | 2017 |
| Faculty Service Award | 2016 |
| New Zealand Superannuation Fund Best Paper Award | 2015 |
| Outstanding Faculty of the Cook School of Business, voted by the Alumni Board | 2013 |
| Vice President's Award for Faculty Research Leave | 2012 |
| JCSB Kwak Research Award for best research productive faculty | 2009 |
| JCSB Competitive summer research award | 2006 – |
| ICRIER policy paper grant | 2006 |
| National Stock Exchange of India Research Initiative Grant | 2004 |
| Contributing faculty, ICFAI | 2004 |
| Member of the American Finance Association | 2000 – |
| Member of the Financial Management Association | 2000 – |
| Member of the Eastern Finance Association | 2000 – |

Conference/Seminar Presentations/Selections

1. University of Technology, Sydney, invited presentation (online), 2021
2. Spark, Eastern Region Meeting (online), 2021
3. Western Finance Association Conference (online), San Francisco (scheduled), 2020
4. Women in Market Microstructure (online), 2020
5. Invited Speaker (online), University of Memphis, 2020
6. Invited Speaker (online), IIM Udaipur, 2020
7. American Accounting Association meetings (online), Atlanta, 2020
8. Financial Management Association Annual Meeting (online), 2020
9. Review of Accounting Studies (RAST) Conference, Singapore, 2019
10. PCAOB/TAR Conference, Washington DC, 2019
11. University of Rochester invited presentation, Rochester, 2019
12. IEX First Academic Research Conference, New York, 2019
13. Rochester Institute of Technology invited presentation, Rochester, 2019
14. Financial Management Association Annual meetings, New Orleans, 2019
15. Spanish Finance Association Conference, Madrid 2019
16. University of Paris-Dauphine Microstructure workshop, Paris 2019
17. European FMA, Glasgow 2019

18. Swiss Society for Financial Markets Research, Zurich, 2019
19. Eastern Finance Association Conference, Miami, 2019
20. Southwest Finance Association Conference, Houston, 2019
21. Northern Finance Conference, Charlevoix, Quebec, Canada, 2018
22. Annual Financial Markets Liquidity Conference, Budapest, 2018
23. Financial Management Association Annual Conference, San Diego, 2018
24. NYU-NSE Financial Markets Conference, Mumbai, 2017
25. IGIDR Emerging Markets Finance Conference, Mumbai, 2017
26. Financial Intermediation Research Society (FIRS) annual conference, Hong Kong, 2017
27. Invited Speaker at the University of Sydney research seminars, Sydney, 2017
28. Financial Accounting Research (FARS) midyear conference, Charlotte, 2017
29. Louis Bachelier Institute Market Microstructure conference, Paris, 2016
30. Annual Financial Market Liquidity Conference, Budapest, 2016
31. 14th INFINITY Conference on International Finance, Dublin, 2016
32. XXIV Finance Forum, Madrid, 2016
33. Financial Management Association (Europe) Meeting, Helsinki, 2016
34. Financial Management Association, Las Vegas, 2016
35. Northern Finance Association, Mont-Tremblant, Canada, 2016
36. Finance Down Under conference, Melbourne, 2016
37. Australasian Finance and Banking Conference, Sydney, 2015
38. Auckland Finance Meeting, Auckland, 2015
39. University at Buffalo, Buffalo 2015
40. Academy of Behavioral Finance and Economics, Philadelphia, 2015
41. European Finance Association Meetings, Vienna, 2015
42. Women in Microstructure Conference, Seattle, 2015
43. European FMA, Venice, 2015
44. Eastern Finance Association Meetings, New Orleans, 2015
45. Invited presentation at the CFTC, Washington D. C., 2015
46. 10th Annual CB Workshop on Microstructure, Rome, 2014
47. European Finance Association Meetings, Lugano, 2014
48. UBS Asset Management Conference, Boston, 2014
49. Black Rock Invited presentation, San Francisco, 2014
50. Western Finance Association Conference, Monterrey, 2014
51. Financial Intermediation Research Society (FIRS) Conference, Quebec City, 2014
52. Swiss Society for Financial Market Research Conference, Zurich, 2014
53. World Finance Conference, Venice, 2014
54. Financial Management Association Conference, Chicago, 2013
55. XXI Finance Forum, Segovia, Spain, 2013
56. European Financial Management Association Conference, Luxembourg, 2013
57. Swiss Society for Financial Market Research Conference, Zurich, 2013
58. Finance Down Under Conference, Melbourne, 2013
59. India Finance Conference, IIM Kolkata, 2012
60. Financial Management Conference, Atlanta, 2012
61. Notre Dame University, South Bend, 2012
62. University of Maryland, College Park, 2012
63. Indiana University, Bloomington, 2012

64. Eastern Finance Conference, Boston, 2012
65. Cornell University, Ithaca, 2012
66. Syracuse University, Syracuse, 2012
67. Financial Management Association Meetings, Denver, 2011
68. Northern Finance Association Meetings, Vancouver, 2011
69. Invited Speaker, Wilfrid Laurier University, 2011
70. Eastern Finance Association Meetings, Savannah, 2011
71. South Western Finance Association Meetings, Houston, 2011
72. Financial Management Association Meetings, New York, 2010
73. American Association of Behavioral Finance and Economics Conference, Chicago, 2010
74. Industrial Organization of Securities Markets Conference, Frankfurt 2010
75. Eastern Finance Conference, Miami 2010
76. Financial Management Association Meetings, Reno, 2009
77. Eastern Finance Association Meetings, Washington D. C., 2009
78. Northern Finance Association Meetings, Calgary, 2008
79. Financial Management Association Meetings, Dallas 2008
80. Invited Speaker for the UMSL Business School Seminar series (April 2007, 2008, 2010)
81. Financial Management Association Meetings, Orlando 2007
82. Financial Management Association Meetings, Salt Lake City 2006
83. Eastern Finance Association Meetings, Philadelphia 2006
84. Mid West Finance Association Meetings, Chicago 2006
85. Financial Association Meetings, Chicago, 2005
86. Invited to present at the 2005 CRSP Forum (University of Chicago), October 2005
87. Invited to Chair a track of the Market Microstructure Session at the Financial Management Association Meetings, Chicago, 2005
88. South West Finance Association Meetings, Dallas 2004
89. Financial Management Association Meetings, New Orleans, 2004
90. European Financial Management Association Meetings, Italy 2005
91. Financial Management Association Meetings, Denver, 2004
92. Financial Management Association Meetings, San Antonio, 2003

Review and Professional Service

1. Reviewer for the *Journal of Finance*
2. Reviewer for the *Review of Financial Studies*
3. Reviewer for the *Journal of Accounting Research*
4. Reviewer for the *Accounting Review*
5. Reviewer for the *Journal of Financial and Quantitative Analysis*
6. Reviewer for the *Journal of Financial Markets*
7. Reviewer for the *Journal of Banking and Finance*
8. Reviewer for the *Journal of Financial Research*
9. Reviewer for *The Financial Review*
10. Reviewer for *Accounting and Public Policy*
11. Reviewer for *Cornell Hospitality Report*
12. Reviewer for *The Journal of Financial Stability*
13. Reviewer for the *Quarterly Review of Economics and Finance*

14. Program Committee Member for European Finance Association conference, 2014 - 2019
15. Track Chair (Market Microstructure) for the MARC (Villanova) conference, 2017
16. Hong Kong Research Council Reviewer, 2014, 2017, 2019, 2020
17. Program Committee member, Midwest Financial Association meetings, 2016
18. Panel member for Special Session, FMA 2013
19. Program Committee Member for the Financial Management Association, 2005 - present.
20. Program Committee Member for the Mid-West Financial Management Association, 2015.
21. Track Chair (Investments) for the Eastern Finance Association Meetings, 2012.
22. Reviewer for the European Finance Association conference
23. Reviewer for the Northern Finance Association conference
24. Reviewer for the Financial Management Association conferences
25. Reviewer for the Eastern Finance Association Conference
26. Reviewer for the Mid West Finance Association Conference
27. External reviewer of grant programs for various universities

Other Service

Financial Literacy teaching at the ERDCC **Prison**, MO

Richard A. Chaifetz School of Business representative at the St. **Louis Community Partnership**

Volunteer Financial Advising for **Let's Start**, an organization that helps women recently released from incarceration.

Volunteer consultant for **Housing First**, during the foreclosure crisis

University, Business School, and Departmental Service (selected)

1. Member of SLU Provost Search Committee, 2020-2021
2. Senate Exec. Committee Nominee to Faculty Hiring Policy Task Force, 2020 - 2021
3. Member of the Chaifetz School Executive Committee, 2018 – present
4. Member of the Chaifetz School Service Awards Committee 2020 -
5. Member of the Dean Review Committee, 2019
6. Member of the Marketing Task Force, 2018-2019
7. Member of the Chaifetz School Strategy Task Force, 2018
8. Member of the Chaifetz School Council, 2018
9. Member of the Faculty Senate Budget Committee, 2016 – 2018
10. Faculty Representative, University Residence Halls Initiative, 2017
11. Member, SLU Operational Excellence Committee, 2017
12. Member of the University task force on Gender Equity, 2015 - date
13. Member of the University Rank and Tenure Committee, Summer 2014 – Summer 2018
14. Member of the School Undergraduate Curriculum revision committee – 2015-2016
15. Member of the Chaifetz School Dean Search Committee, 2014
16. Member of the University Ad Hoc Judicial Panel, start date - Fall 2013
17. Coordinator, Chaifetz School Research Seminar Series, start date - Fall 2011

18. Member of the Vice President's task force for University wide research evaluation
19. Member of the Chaifetz School task force for exploring SLU Global MBA
20. Member of the University's Board of Trustees' Finance Committee
21. Member of the Chaifetz School Executive Committee
22. Faculty Advisor for the Finance Club
23. Reviewer for Beaumont Research Award
24. Member of Search Committee for new faculty hiring
25. Member of Dean's Task Force on Diversity
26. Member of Dean's Task Force on Technology Mediated Learning
27. Member of Dean's Task Force on Jesuit Mission
28. Member of the Undergraduate Board
29. Department mentor for International students