

THOMAS WILLIAM DOELLMAN

Assistant Professor, Department of Finance
John Cook School of Business
Saint Louis University
Saint Louis, MO 63108

Office: 314.977.3815
Fax: 314.977.3897
Email: tdoellma@slu.edu

ACADEMIC INTERESTS

Research: Empirical Corporate Finance, Pension Plans, Behavioral Finance, Mutual Funds, Investments

Teaching: Corporate Finance, Investments, Financial Management, Derivatives, Business Finance

EDUCATION

University of Florida, Gainesville, FL

Warrington College of Business – Department of Finance, Insurance & Real Estate

Ph.D. Candidate in Finance

May 2012

Southern Illinois University, Edwardsville, IL

M.S. Finance and Economics

Dec 2007

B.S. Business Administration, Economics

May 2005

ACADEMIC EXPERIENCE

Assistant Professor of Finance, John Cook School of Business

Fall 2012 -

Saint Louis University

FIN3630 – Equity Markets

FIN6380 – Derivative Securities and Markets

Instructor, University of Florida

S2009/F2012

Equity and Capital Markets

RESEARCH

PUBLICATIONS

- Preempting the competition: how do shareholders view sponsorships in the sport apparel industry? (with Adrien Bouchet, Michael Troilo, and Brian Walkup), *Journal of Sport Management*, Forthcoming.
- An investigation of administrative fees in defined contribution plans (with Sabuhi Sardarli), *Financial Analysts Journal* 72.2 (2016): 41-51.
- Investment fees, net returns, and conflicts of interest in 401(k) plans (with Sabuhi Sardarli), *Journal of Financial Research* 39.1 (2016): 5-33 (Lead Article).

- CEO confidence and stock returns (with Rakesh Bharati and Xudong Fu), *Journal of Contemporary Accounting and Economics* 12.1 (2016): 89-110.
- The impact of international football matches on primary sponsors and shareholder wealth (with Adrien Bouchet, Michael Troilo, and Brian Walkup), *Journal of Sport Management* 29.2 (2015).

MANUSCRIPTS UNDER REVIEW

- The impact of defined contribution investments on mutual fund flows (with Michael Ryngaert and Sabuhi Sardarli).
 - Earlier circulated under the name, ‘*The Impact of Defined Contribution Investments on the Performance-Flow of Mutual Funds.*’
- Efficiency, spanning, and the fiduciary in 401(k) plans (with Farid Aitsahlia and Sabuhi Sardarli).

WORKING PAPERS

- Corporate tax avoidance and mutual fund ownership (with Fariz Huseynov, Tareque Nasser and Sabuhi Sardarli).
- Socially responsible investment funds and corporate tax avoidance (with Fariz Huseynov and Sabuhi Sardarli).
- The costs and determinants of conflicted investment advice in 401(k) plans (with Sabuhi Sardarli).
- Alphabeticity affects actions: evidence of behavioral bias in 401(k) investing (with Jennifer Itzkowitz, Jesse Itzkowitz, and Sabuhi Sardarli).
- Alliances, culture, and shareholder wealth: evidence from international sponsorships (with Adrien Bouchet, Brian Chabowski, and Brian Walkup).

IN THE PRESS

- Nicklaus, David. “Retirement debate is all about protecting the small investor.” *St. Louis Post-Dispatch*. Stltoday.com, 01 March 2015.
 - Reference to: *Investment fees, net returns, and conflicts of interest in 401(k) plans*

ACADEMIC SERVICE

INVITED PRESENTATIONS

American Marketing Association Winter Meeting, Orlando Florida <i>Sponsorships, Culture, and Shareholder Wealth: Evidence from International Advertising Alliances</i>	2017
Financial Management Association Annual Meeting, Las Vegas, NV <i>Socially Responsible Investment Funds and Corporate Tax Avoidance</i>	2016
Eastern Finance Association Annual Meeting, Baltimore, MD <i>Efficiency, Spanning, and the Fiduciary in 401(k) Plans</i>	2016
Midwestern Finance Association Annual Meeting, Atlanta, GA <i>Efficiency, Spanning, and the Fiduciary in 401(k) Plans</i>	2016
Financial Management Association Annual Meeting, Orlando, FL <i>Corporate Tax Avoidance and Mutual Fund Ownership</i>	2015
NASSM Annual Meeting, Ottawa, Ontario <i>The Impact of International Football Matches on Primary Sponsors and Shareholders</i>	2015
Technology University of Munich Munich, Germany <i>Preempting the Competition: How do Shareholders View Sponsorships in the Sport Apparel Industry</i>	2015
German Sport University Cologne, Germany <i>The Impact of International Football Matches on Primary Sponsors and Shareholders</i>	2015
Johannes Gutenberg University Mainz, Germany <i>The Impact of International Football Matches on Primary Sponsors and Shareholders</i>	2015
Southwest Finance Symposium Annual Meeting, Tulsa, OK <i>The Impact of International Football Matches on Primary Sponsors and Shareholders</i>	2014
Midwest Finance Association Annual Meeting, Orlando, FL <i>CEO Confidence and Stock Returns</i>	2014
Financial Management Association Annual Meeting, Chicago, IL	2013

Conflicts of Interest and the Cross-Sectional Performance of 401(k) Plans

Southwestern Finance Association

2013

Annual Meeting, Albuquerque, NM

The Impact of Defined Contribution Investments on the Performance-Flow of Mutual Funds

UNIVERSITY COMMITTEES

Undergraduate Review Board

2015 -