

ST. LOUIS UNIVERSITY RETIREMENT PLAN

Notice to Interested Parties

1. Notice To:

All present employees of the employer who are eligible to participate in the plan, all other present employees of the employer whose principal place of employment is the same as the principal place of employment of such employees who are eligible to participate, and all present employees covered by a collective-bargaining agreement pursuant to which the plan is maintained.

An application is to be made to the Internal Revenue Service for an advance determination on the qualification of the following employee pension benefit plan:

2. Name of Plan:

St. Louis University Retirement Plan

3. Plan Number:

002

4. Name and Address of Applicant:

St. Louis University
3545 Lafayette Avenue
St. Louis, Missouri 63104

5. Applicant EIN:

43-0654872

6. Name and Address of Plan Administrator:

Retirement Committee
St. Louis University Retirement Plan
3545 Lafayette Avenue
St. Louis, Missouri 63104

7. The application will be filed on January 28, 2013 for an advance determination as to whether the plan meets the qualification requirements of Section 401 or 403(a) of the Internal Revenue Code of 1986, with respect to the plan's amendment. The application will be filed with:

EP Determinations
Internal Revenue Service
P.O. Box 12192
Covington, KY 41012-0192

8. The employees eligible to participate under the plan are described as follows, subject to the terms of the plan:

A person classified as an employee by the University, other than (a) housestaff, (b) a part-time extraordinary faculty member, (c) an individual described in Section 3121(b)(10) of the Internal Revenue Code whose employment is incidental to his education (e.g., a graduate trainee, student worker or college work student), (d) an independent contractor, (e) a leased employee, or (f) a member of a collective bargaining unit for which either

- (i) a separate retirement plan has been established pursuant to collective bargaining negotiations, or
- (ii) no separate plan has been established after collective bargaining which has included discussion of retirement benefits, unless such collective bargaining provided for coverage under the plan,

may become a participant as of the pay period which includes the date on which he or she completes one Year of Service.

9. The Internal Revenue Service has previously issued a determination letter with respect to the qualification of this plan.

RIGHTS OF INTERESTED PARTIES

10. You have the right to submit to EP Determinations, at the above address, either individually or jointly with other interested parties, your comments as to whether this plan meets the qualification requirements of the Internal Revenue Code.

You may instead, individually or jointly with other interested parties, request the Department of Labor to submit, on your behalf, comments to EP Determinations regarding qualification of the plan. If the Department declines to comment on all or some of the matters you raise, you may, individually, or jointly if your request was made to the Department jointly, submit your comments on these matters directly to EP Determinations.

REQUESTS FOR COMMENTS BY THE DEPARTMENT OF LABOR

11. The Department of Labor may not comment on behalf of interested parties unless requested to do so by the lesser of 10 employees or 10 percent of the employees who qualify as interested parties. The number of persons needed for the Department to comment with respect to this plan is ten (10). If you request the Department to comment, your request must be in writing and must specify the matters upon which comments are requested, and must also include:

- (1) the information contained in items 2 through 5 of this Notice; and
- (2) the number of persons needed for the Department to comment.

A request to the Department to comment should be addressed as follows:

Deputy Assistant Secretary
Employee Benefits Security Administration
ATTN: 3001 Comment Request
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

COMMENTS TO THE INTERNAL REVENUE SERVICE

12. Comments submitted by you to EP Determinations must be in writing and received by it by March 14, 2013. However, if there are matters that you request the Department of Labor to comment upon on your behalf, and the Department declines, you may submit comments on these matters to EP Determinations to be received by it within 15 days from the time the Department notifies you that it will not comment on a particular matter, or by March 14, 2013, whichever is later, but not after March 29, 2013. A request to the Department to comment on your behalf must be received by it by February 12, 2013 if you wish to preserve your right to comment on a matter upon which the Department declines to comment, or by February 22, 2013 if you wish to waive that right.

ADDITIONAL INFORMATION

13. Detailed instructions regarding the requirements for notification of interested parties may be found in sections 17 and 18 of Revenue Procedure 2012-6. Additional information concerning this application (including, where applicable, an updated copy of the plan and related trust; the application for determination; any additional documents dealing with the application that have been submitted to the Internal Revenue Service; and copies of section 17 of Revenue Procedure 2012-6) is available at

St. Louis University
3545 Lafayette Avenue
St. Louis, Missouri 63104

during normal business hours for inspection and copying. (There is a nominal charge for copying and/or mailing.)